



CMMC GAP ASSESSMENT QUOTE REQUEST FORM



INSTRUCTIONS FOR COMPLETION:

Please ensure when completing this form it is downloaded and saved locally before completing. This interactive PDF should be opened and completed in Adobe Reader/Acrobat before resaving and returning to NQA.

IF YOU ARE A MULTI-SITE CLIENT PLEASE DOWNLOAD, COMPLETE AND RETURN THE NQA [MULTI-SITE SUPPLEMENT QUESTIONNAIRE](#).

1. Organization details:

Company name (Legal entity requiring certification): Country:

Main office address:

Postcode: Website:

Contact name:

Job title:

Email:

Direct dial: Mobile:

2. Requested scope of certification:

Please describe the processes and information to be covered by the CMMC certification.

3. CMMC Level:

Level 1 (FCI only) Level 2 Level 3 (CUI/DFARS Complaint)

Level 4 Level 5

4. Total employees at the main site:

5. Are there multiple buildings associated with this site?: Yes No

If yes, number of buildings: Same address as main site? Yes No

6. Main site - break down of total employees:

Office / non-shift workers:

How many additional on 1st shift: Activities performed:

How many additional on 2nd shift: Activities performed:

How many additional on 3rd shift: Activities performed:

How many of these are seasonal employees: Part time employees: Temporary employees:

Off-site (i.e. sales, installers)? Activities performed:

7. Anticipated dates for activities:

(Optional) Pre-assessment:

8. Did you use a consultant? Yes No

Consultant name:

9. Description of the organization's cybersecurity landscape within the CMMC scope:

Criteria	A	B	C	Details
Information security requirements [confidentiality, integrity and availability, (CIA)]	<ul style="list-style-type: none"> Low sensitivity or confidentiality of information; low availability requirements <input type="checkbox"/>	<ul style="list-style-type: none"> Moderate sensitivity or confidentiality of information; moderate availability requirements <input type="checkbox"/>	<ul style="list-style-type: none"> High sensitivity or confidentiality of information; high availability requirements <input type="checkbox"/>	<input type="text"/>
Number of critical assets	<ul style="list-style-type: none"> Few critical assets <input type="checkbox"/>	<ul style="list-style-type: none"> Some critical assets <input type="checkbox"/>	<ul style="list-style-type: none"> Many critical assets <input type="checkbox"/>	<input type="text"/>
Number of processes and interfaces	<ul style="list-style-type: none"> Only one key business process with few interfaces <input type="checkbox"/>	<ul style="list-style-type: none"> 2-3 simple business processes with several interfaces <input type="checkbox"/>	<ul style="list-style-type: none"> More than 3 business processes with many interfaces <input type="checkbox"/>	<input type="text"/>
Number of products and services	<ul style="list-style-type: none"> Only one product/service in scope <input type="checkbox"/>	<ul style="list-style-type: none"> 2-3 products/services in scope <input type="checkbox"/>	<ul style="list-style-type: none"> More than 3 products/services in scope <input type="checkbox"/>	<input type="text"/>
Types of processes and tasks	<ul style="list-style-type: none"> Standard processes with repetitive tasks <input type="checkbox"/>	<ul style="list-style-type: none"> Standard but nonrepetitive processes <input type="checkbox"/>	<ul style="list-style-type: none"> Complex processes or unique activities <input type="checkbox"/>	<input type="text"/>

Criteria	A	B	C	Details
ISMS regulatory requirements	<ul style="list-style-type: none"> Low ISMS regulatory requirements <input type="checkbox"/>	<ul style="list-style-type: none"> High ISMS regulatory requirements <input type="checkbox"/>	<ul style="list-style-type: none"> High risk business with (only) limited regulatory requirements <input type="checkbox"/>	
Degree of critical business *(Critical business sectors are sectors that may affect critical public services that will cause risk to health, security, economy, image and government ability to function that may have a very large negative impact to the country.)	<ul style="list-style-type: none"> Organization works in non-critical business sectors <input type="checkbox"/>	<ul style="list-style-type: none"> Organization has customers in critical business sectors <input type="checkbox"/>	<ul style="list-style-type: none"> Organization works in critical business sectors <input type="checkbox"/>	
Establishment of a Management System	<ul style="list-style-type: none"> Other management systems are in place and certified (e.g. QMS, BCMS, ITSMS) <input type="checkbox"/>	<ul style="list-style-type: none"> Some management system requirements are in place, but not certified (e.g. QMS, BCMS, ITSMS) <input type="checkbox"/>	<ul style="list-style-type: none"> No other management system implemented at all <input type="checkbox"/>	
Demonstrated performance of an ISMS	<ul style="list-style-type: none"> Recently certified Previously implemented & ISMS compliant <input type="checkbox"/>	<ul style="list-style-type: none"> Recent surveillance audit Previously implemented & partially ISMS compliant <input type="checkbox"/>	<ul style="list-style-type: none"> No certification and no recent audits <input type="checkbox"/>	
Extent and diversity of technology utilized in the implementation of the various components of the ISMS (e.g. number of different IT platforms, number of segregated networks)	<ul style="list-style-type: none"> Highly standardized environment with low diversity (few IT platforms, servers, operating systems, databases, networks, etc.) <input type="checkbox"/>	<ul style="list-style-type: none"> Standardized but diverse IT platforms, servers, operating systems, databases, networks <input type="checkbox"/>	<ul style="list-style-type: none"> High diversity or complexity of IT (e.g. many different segments of net-works, types of servers or databases, number of key applications) <input type="checkbox"/>	
Extent of outsourcing and third party arrangements used within the scope of the ISMS (including cloud services)	<ul style="list-style-type: none"> No outsourcing and little dependency on suppliers, or Well-defined, managed and monitored outsourcing arrangements Outsourcer has a certified ISMS Relevant independent assurance reports are available <input type="checkbox"/>	<ul style="list-style-type: none"> Several partly managed outsourcing arrangements <input type="checkbox"/>	<ul style="list-style-type: none"> High dependency on outsourcing or suppliers with large impact on important business activities, or Unknown amount or extent of outsourcing, or Several unmanaged outsourcing arrangements <input type="checkbox"/>	

Criteria	A	B	C	Details
Extent of information system development	<ul style="list-style-type: none"> No in-house system development Use of standardized software platforms <input type="checkbox"/>	<ul style="list-style-type: none"> Use of standardized software platforms with complex configuration/parameterization (Highly) customized software Some development activities (in-house or outsourced) <input type="checkbox"/>	<ul style="list-style-type: none"> Extensive internal software development activities with several ongoing projects for important business purpose <input type="checkbox"/>	
Number of sites and number of Disaster Recovery (DR) sites	<ul style="list-style-type: none"> Low availability requirements and no or one alternative DR site <input type="checkbox"/>	<ul style="list-style-type: none"> Medium or High availability requirements and no or one alternative DR site <input type="checkbox"/>	<ul style="list-style-type: none"> High availability requirements e.g. 24/7 services Several alternative DR sites Several Data Centers <input type="checkbox"/>	

10. Completed by:

Name:

Job title:

11. Where did you hear about NQA's service? (Tick all that apply)

Existing client Consultant NQA's website
Recommendation from another company Search engine: e.g. Google Trade press
Exhibition Social media

Other (please specify)

12. Do you currently have 3rd party accredited ISO 27001 certification? Yes No

If yes, please tell us the name of your current certification body:

If you have any problems completing this form please call 0800 052 2424 (option 2) or email sales@nqa.com

If you choose to give us any personal information (for example your e-mail address) we will treat this information in line with our privacy notice which can be located here: <https://www.nqa.com/en-gb/privacy>. We will only use the information provided to respond to your enquiry and provide you with any information or materials requested. By submitting this information you are requesting a quote for services from NQA and a subsequent quote letter will be issued to you based on the information provided within this form.